

T2S CORPORATE ACTIONS SUB-GROUP

Agenda of the 43rd CASG meeting

ECB Main Building, Sonnemannstrasse 20, 60314 Frankfurt am Main

26 September 2017, 10:30 – 18:00 CET (buffet lunch 13:00-14:00), Room C03.10

27 September 2017, 09:00 – 16:00 CET (buffet lunch 12:30-13:30), Room C03.07

1. Introduction / Welcome

The CASG chairman will welcome the group and update CASG members on recent developments.

2. September 2017 CASG gap analysis update

Further to the last T2S Migration Wave, the CASG is to discuss and agree on the draft September 2017 CASG gap analysis report to be submitted to the October 2017 HSG.

Background document:

- Draft September 2017 CASG gap analysis update

3. Possible synergies between CASG and CAJWG regarding compliance monitoring

Following the proposal made by the CASG and CAJWG Chairmen in the last HSG meeting, CASG members are invited to discuss and consider the possible synergies between the monitoring processes of the two stakeholder communities.

Background document:

- Outlines of proposal

4. Proposed additions/changes to FAQ document

CASG members are invited to discuss and agree on the proposed amendments to the FAQ, including relating to investor CSD options in case of non-compliance of issuer CSDs with T2S corporate action standards, in view to be included in a new version of the CASG FAQ.

Background document:

- Proposed amendments to the FAQ

5. Issues list of the CASG

The CASG is to discuss the open on-going issues related to CA processing, based on the updated issues list, as well as the current state of play of problems on tax processing for market claims

Background document:

- Updated CASG list of pending issues
- New cases reported (if any)
- Short discussion on tax issues

6. The Collateral Management Harmonisation Task Force (CMH-TF) and its Corporate Actions Sub-stream

The CMH-TF in general and its CA Sub-stream in particular will be presented to the CASG, with their harmonisation scopes and working processes. CASG members are invited to discuss the CASG interaction with the CMH-TF CA sub-stream.

7. CASG working plan for 2018 to be presented to the October 2017 HSG

The CASG is invited to discuss and agree on its working plan for 2018.

- Meeting dates, deliveries and their deadlines

8. Any other business